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Title:

Greenhouse Gas Emissions From Respiratory Treatments: Results From the SABA CARBON International Study

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#### **Supplementary material**

#### SUPPLEMENTARY METHODS

#### **Study Design and Population**

#### SABINA III analyses

Patient eligibility criteria for SABINA III have been described previously [1]. Patients aged  $\geq 12$  years with a documented diagnosis of asthma,  $\geq 3$  consultations with a healthcare practitioner and medical records containing data for  $\geq 12$  months preceding the study visit were included. Patient data were recorded on electronic case report forms during the study visit to a primary care physician or a respiratory medicine specialist. Patients with a diagnosis of other chronic respiratory diseases, such as chronic obstructive pulmonary disease, or with an acute respiratory condition that in the opinion of the investigator would prevent them from attending the study visit were excluded. Informed consent was obtained from all patients or their legal guardians.

#### **Study Variables**

Greenhouse gas (GHG) emissions were quantified over a 12-month period using the following formula:

 $\sum CO_2 e\ emission\ value\ {}_{SABA\ or\ ICS\ containing\ medication\ item\ }X\ n\ item\ used/prescribed}$ 

 $CO_{2e}$  carbon dioxide equivalent, *ICS* inhaled corticosteroids, *SABA* short-acting  $\beta_2$ -agonist The principles of Greenhouse Gas Protocol Pharmaceutical and Medical Device Sector Guidance for Product Life Cycle Accounting 2012 and the World Business Council for Sustainable Development, World Resources Institute 2011 Product Life Cycle Accounting and Reporting Standard were followed in estimating the GHG emissions of AstraZeneca asthma medications.

#### **Sensitivity Analysis**

Product life cycle assessments (LCAs) generated using the global warming potential (GWP) values of hydrofluoroalkanes (HFAs) for a 100-year time period from the Intergovernmental Panel on Climate Change Fourth Assessment Report (IPCC AR4) compared with those generated using the IPCC Fifth Assessment Report (AR5) resulted in a negligible (1.4%) increase in total pressurised metered-dose inhaler (pMDI)–related GHG estimates [2]. Product LCAs generated using GWP of HFAs for a 100-year time period from the IPCC Sixth Assessment Report (AR6) compared with IPCC AR5 resulted in an 8.1% increase in total pMDI–related GHG estimates [3]. Similarly, the variation in calculations of GHG estimates based on recently published studies [4-7] and modelled estimates revealed a 0.2% increase in total dry powder inhaler–related GHG estimates.

### SUPPLEMENTARY FIGURES

## Supplementary Fig. S1 (A) Overview of IQVIA MIDAS data collection\* and (B) types of

data sources.



IQVIA sales data are collected according to the distribution channel of a product pack in the country

\*Depending on the country, data is collected at different points in this distribution channel. Data is collected at sell-in for all retail and hospital panels, with a few exceptions. **Supplementary Fig. S2** Per capita SABA and controller medication use and associated GHG emissions based on the IQVIA MIDAS sales data\* stratified by geographical region.



\*Based on internal analysis by the authors using IQVIA MIDAS<sup>®</sup> data, reflecting estimates of real-world activity. Copyright IQVIA. All rights reserved.

CO2e carbon dioxide equivalent, GHG greenhouse gas, per capita per 10,000 persons/year,

SABA short-acting  $\beta_2$ -agonist, UAE United Arab Emirates

**Supplementary Fig. S3** Per capita SABA and controller medication use and associated GHG emissions based on the IQVIA MIDAS sales data\* stratified by gross national income<sup>†</sup>.



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<sup>†</sup>Gross national income per capita based on the 2020 World Bank classifications [8]: LMIC, 1046 USD–4095 USD; UMIC, 4096 USD–12,695 USD; HIC, >12,695 USD.

 $CO_{2e}$  carbon dioxide equivalent, *GHG* greenhouse gas, *per capita* per 10,000 persons/year, *HIC* high-income country, *LMIC* lower-middle-income country, *SABA* short-acting  $\beta_2$ -agonist, *UAE* United Arab Emirates, *UMIC* upper-middle-income country, *USD* United States Dollar

# SUPPLEMENTARY TABLES

Supplementary Table S1 Estimates of GHG emissions associated with medication use

Drug	Drug class	Device	Minimum carbon footprint of device (kg CO <sub>2</sub> eq/device)	Maximum carbon footprint of device (kg CO <sub>2</sub> eq/device)	References
Beclomethasone dipropionate	ICS	pMDI	12.4	19.6	[9-12]
Beclomethasone dipropionate	ICS	DPI	0.4	0.8	[9,11,12]
Ciclesonide	ICS	pMDI	8.4	12.6	[10]
Fluticasone propionate	ICS	pMDI	17.9	19.3	[9,10]
Fluticasone propionate	ICS	DPI	0.5	0.9	[9,12,13]
Mometasone furoate	ICS	pMDI	47.9	47.9	[10]
Mometasone furoate	ICS	DPI	0.4	0.8	[14]
Beclomethasone dipropionate/formoterol fumarate dihydrate	ICS/LABA	pMDI	11.3	16.0	[10,11]
Beclomethasone dipropionate/formoterol fumarate dihydrate	ICS/LABA	DPI	0.5	0.9	[11,12]
Budesonide/formoterol fumarate dihydrate	ICS/LABA	pMDI	22.1	37.6	[10,15]
Budesonide/formoterol fumarate dihydrate	ICS/LABA	DPI	0.3	1.0	[15]
Fluticasone propionate/salmeterol xinafoate	ICS/LABA	pMDI	17.9	19.5	[9,10]
Fluticasone propionate/salmeterol xinafoate	ICS/LABA	DPI	0.9	0.9	[9]
Fluticasone furoate/vilanterol trifenatate/umeclidinium bromide	ICS/LABA/LAMA	DPI	0.8	0.8	[9]
Formoterol fumarate dihydrate/mometasone furoate	ICS/LABA	pMDI	32.4	47.9	[10]
Formoterol fumarate dihydrate	LABA	pMDI	12.0	12.0	[10]
Formoterol fumarate dihydrate	LABA	DPI	0.3	0.8	[12,13,15]
Salmeterol xinafoate	LABA	pMDI	12.6	26.9	[9,10]
Salmeterol xinafoate	LABA	DPI	0.6	0.9	[9]
Aclidinium bromide	LAMA	DPI	0.7	1.5	[15]
Glycopyrronium bromide	LAMA	DPI	0.7	0.8	[13,15]
Umeclidinium bromide	LAMA	DPI	0.7	0.9	[9]
Aclidinium bromide/formoterol fumarate dihydrate	LABA/LAMA	DPI	0.7	1.5	[15]
Indacaterol maleate/glycopyrronium bromide	LABA/LAMA	DPI	0.4	0.8	[13]
Olodaterol hydrochloride/tiotropium bromide	LABA/LAMA	pMDI	16.3	16.3	[10,14]
Vilanterol trifenatate/umeclidinium bromide	LABA/LAMA	DPI	0.8	0.9	[9]
Formoterol fumarate dihydrate/glycopyrronium bromide	LABA/LAMA	pMDI	8.4	15.3	[15]
Salbutamol sulphate	SABA	pMDI	8.9	28.0	[9,10,16]

Drug	Drug class	Device	Minimum carbon footprint of device (kg CO <sub>2</sub> eq/device)	Maximum carbon footprint of device (kg CO <sub>2</sub> eq/device)	References
Salbutamol sulphate	SABA	DPI	0.6	0.8	[9,12]
Terbutaline sulphate	SABA	DPI	0.5	0.7	[15]
Ipratropium bromide	SAMA	pMDI	15.6	18.4	[10]

 $CO_2e$  carbon dioxide equivalent, DPI dry powder inhaler, GHG greenhouse gas, ICS inhaled corticosteroids, LABA long-acting  $\beta_2$ -agonist, LAMA

long-acting muscarinic antagonist, *pMDI* pressurised metered-dose inhaler, *SABA* short-acting  $\beta_2$ -agonist, *SAMA* short-acting muscarinic antagonist

# Supplementary Table S2 Estimates of GHG emissions associated with pMDIs based on IPCC AR5 [2] by countries included in the analyses

performed using IQVIA* da	ta and asthma medication class
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	ICS (tonnes CO2e)	ICS/LABA (tonnes CO2e)	ICS/LAMA/LABA (tonnes CO2e)	LABA (tonnes CO2e)	LAMA (tonnes CO2e)	LABA/LAMA (tonnes CO2e)	SABA (tonnes CO2e)
Algeria retail	39,980	3007	0	0	0	0	155,444
Argentina retail	11,650	34,135	0	0	0	0	86,215
Australia combined	12,978	58,907	0	0	0	0	354,648
Brazil combined	100,865	30,553	0	0	0	0	461,158
Central America retail	1875	2338	0	0	0	0	16,633
Chile retail	3901	6790	0	53	0	0	42,495
China hospital	18,944	1752	0	0	0	0	73,250
Colombia retail	4407	1110	0	0	0	0	68,172
Egypt retail	5865	26,473	0	453	0	0	83,921
Hong Kong combined	5949	9079	0	34	0	0	48,747
India combined	32,540	305,274	0	36	22,030	8099	321,434
Japan combined	14,461	100,111	119	0	0	7	54,449
Kazakhstan combined	1928	3155	0	0	0	0	22,026
Malaysia combined	21,832	3609	0	0	0	0	75,690
Mexico combined	3485	14,500	242	9	0	0	54,560
New Zealand retail	7914	10,098	0	350	0	0	57,802
Peru retail	1064	2027	0	0	0	0	8389
Philippines combined	431	23,077	0	0	0	0	22,213
Russia combined	26,887	23,197	0	851	0	0	259,474
Saudi Arabia retail	2386	6985	0	0	0	0	68,443

	ICS (tonnes CO2e)	ICS/LABA (tonnes CO <sub>2</sub> e)	ICS/LAMA/LABA (tonnes CO2e)	LABA (tonnes CO2e)	LAMA (tonnes CO2e)	LABA/LAMA (tonnes CO2e)	SABA (tonnes CO2e)
Singapore combined	1008	3211	0	0	0	0	6425
South Africa total market	6202	8186	0	306	0	0	102,166
South Korea combined	824	11,557	0	0	0	0	23,915
Taiwan hospital	1064	9852	0	0	0	0	8316
Thailand hospital	18,015	20,335	0	19	0	0	23,718
Turkey retail	10,039	25,958	25	4	0	0	195,558
UAE retail	611	2529	0	0	0	0	9068
Vietnam combined	810	10,339	0	0	0	0	23,987

CO<sub>2</sub>e carbon dioxide equivalent, GHG greenhouse gas, ICS inhaled corticosteroids, IPCC AR5 Intergovernmental Panel on Climate Change Fifth

Assessment Report, LABA long-acting  $\beta_2$ -agonist, LAMA long-acting muscarinic antagonist, pMDI pressurised metered-dose inhaler, SABA short-

acting  $\beta_2$ -agonist, *UAE* United Arab Emirates

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#### ICS ICS/LABA ICS/LABA/LAMA LABA LAMA LABA/LAMA SABA (tonnes CO<sub>2</sub>e) Algeria retail Argentina retail Australia combined Brazil combined Central America retail Chile retail China hospital Colombia retail Egypt retail Hong Kong combined India combined 33,754 Japan combined 13,753 Kazakhstan combined Malaysia combined Mexico combined New Zealand retail Philippines combined Russia combined Saudi Arabia retail Singapore combined South Africa total market South Korea combined Taiwan hospital

Supplementary Table S3 Estimates of GHG emissions associated with DPIs based on published LCA estimates [10,11,17] by countries included in

the analyses performed using IQVIA\* data and asthma medication class

	ICS (tonnes CO <sub>2</sub> e)	ICS/LABA (tonnes CO <sub>2</sub> e)	ICS/LABA/LAMA (tonnes CO2e)	LABA (tonnes CO <sub>2</sub> e)	LAMA (tonnes CO <sub>2</sub> e)	LABA/LAMA (tonnes CO <sub>2</sub> e)	SABA (tonnes CO <sub>2</sub> e)
Thailand hospital	29	1446	0	4	104	3	26
Turkey retail	465	11,750	3	203	2148	249	0
UAE retail	14	294	0	1	4	1	5
Vietnam combined	0	540	0	3	0	15	NA

 $CO_2e$  carbon dioxide equivalent, DPI dry powder inhaler, GHG greenhouse gas, ICS inhaled corticosteroids, LABA long-acting  $\beta_2$ -agonist, LAMA

long-acting muscarinic antagonist, *LCA* life cycle assessment, *SABA* short-acting  $\beta_2$ -agonist, *UAE* United Arab Emirates

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Supplementary Table S4 Number of SABA prescriptions given to patients overusing SABA and associated GHG emissions based on prescription

	Number of SABA prescriptions in patients overusing SABA, n		Total GHG emissions from SABA overuse*, tonnes CO2e					
	SABA with OTC	SABA without OTC	SABA with OTC	SABA without OTC				
Total (N=8351)	35,867	31,018	723	611				
GINA treatment step								
GINA steps 1-2 (n=1958)	10,557	9172	217	184				
GINA steps 3–5 (n=6388)	25,308	21,846	507	428				
Geographical region								
Africa (n=1778)	10,109	8054	214	166				
Latin America (n=1096)	5672	5098	122	108				
Middle East (n=1389)	7023	6285	150	132				
Asia Pacific (n=4088)	13,064	11,581	240	208				
Gross national income <sup>†</sup>								
HICs (n=2336)	10,492	9481	224	202				
UMICs (n=3764)	17,512	15,476	353	303				
LMICs (n=2251)	7864	6061	144	105				

claims data in patients with asthma from the SABINA III cohort<sup>†</sup> [1] stratified by GINA treatment step, region and gross national income

CO2e carbon dioxide equivalent, *GHG* greenhouse gas, *GINA* Global Initiative for Asthma, *HIC* high-income country, *LMIC* lower-middle-income country, *OTC* over-the-counter, *SABA* short-acting  $\beta_2$ -agonist, *SABINA* SABA use IN Asthma, *UMIC* upper-middle-income country, *USD* United States Dollar

\*SABA overuse is defined as use of  $\geq$ 3 SABA canisters/year. Inhaler prescription data were used as surrogates for actual use

<sup>†</sup>Based on internal analysis by the authors using data from the SABINA III cohort

<sup>‡</sup>Gross national income per capita based on the 2020 World Bank classifications [8]: LMIC, 1046 USD-4095 USD; UMIC, 4096 USD-12,695 USD;

HIC, >12,695 USD

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