

# **Heart-Lung Interactions during Neurally Adjusted Ventilatory Assist**

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**Online Supplemental Material**

## **Additional Method Section**

### *Exclusion criteria*

Exclusion criteria were lack of informed consent, pregnancy or breastfeeding, any contraindication to insert a nasogastric tube, diaphragm injury, acute or chronic central nervous system disorder, history of cardiac or lung transplantation, any mechanical cardiac assist, need for neuromuscular blocking agents, any contraindication to reduce or stop sedation, concurrent participation in another interventional clinical trial, need for cardiac pacing other than AAI mode, atrial fibrillation, poor image quality in transthoracic echocardiography.

### *Safety measures*

The study had to be stopped in case of arterial blood desaturation to  $< 90\%$  (or to  $< 85\%$  in patients with a history of chronic obstructive pulmonary disease) unresponsive to an increase of the inspiratory fraction of oxygen to a maximum of 0.8, or in case of hemodynamic instability with a decrease in mean arterial blood pressure  $> 30\%$  of baseline (=average of the last 30 minutes before starting the study) or to  $< 55\text{mmHg}$  unresponsive to therapeutic interventions as per written prescriptions by the attending physician.

### *Installations*

As part of routine care all patients were monitored (S/5 Critical Care Monitor<sup>TM</sup>, Datex-Ohmeda, Helsinki, Finland) with an arterial line and a pulmonary artery catheter with continuous measurement of central venous pressure, pulmonary arterial pressure, cardiac output, and mixed

venous oxygenation (CCO<sub>2</sub>, Edwards Lifesciences, California). Esophageal balloon catheters (SmartCath esophageal Catheter, Viasys Healthcare, CareFusion, California) and nasogastric tubes for EAdi measurements (Maquet, Solna, Sweden) were inserted using the occlusion test for the esophageal balloon(1, 2) and a dedicated software provided by the ventilator manufacturer for the EAdi catheter(3) in order to verify correct positioning.

### ***Study Protocol***

#### *Titration of an adequate NAVA level to breathing pattern*

NAVA principles, catheter positioning and the NAVA level titration procedure have been previously described (3-9).

For identification of an adequate NAVA level (NAVA<sub>al</sub>) the gain factor was first reduced to 0cmH<sub>2</sub>O/ $\mu$ V (i.e. no ventilatory assist was delivered except for a minimal default assist of 2cmH<sub>2</sub>O when the patient's inspiratory effort exceeded the pneumatic inspiratory trigger threshold). After electrical activity of the diaphragm (EAdi) had stabilized, the NAVA level (i.e. the gain factor) was manually increased in increments of 0.1cmH<sub>2</sub>O/ $\mu$ V every 20 seconds. NAVA<sub>al</sub> was visually identified on trend graphs displayed on the ventilator screen early after the transition from an initial steep increase in airway pressure (Paw) and tidal volume (V<sub>t</sub>) (1<sup>st</sup> response) to a less steep increase (2<sup>nd</sup> response) (see additional file 2).

## ***Measurements***

### *Ventilatory and hemodynamic parameters*

Ventilator pressure (Pvent), airflow and EAdi were continuously recorded using a dedicated software provided by the ventilator manufacturer (NAVA tracker, Maquet, Solna, Sweden). Airway pressure proximal to the Y-piece (Paw) and esophageal pressure (Pes) were measured using a custom made acquisition system (MPX2050DP, Freescale Semiconductor Inc., Arizona, USA) (10) and recorded simultaneously with arterial, central venous and pulmonary artery pressures by an acquisition software (Neurovent Research Inc, Toronto, Canada), as published previously (4, 6, 7). Sampling frequency for all these recordings was 62.5 Hz. Cardiac output and central venous oxygen saturation (Vigilance II, Edwards Lifesciences, Nyon, Switzerland) were recorded by a patient data management system as two minute median values (Clinicare, GE Healthcare, Finland).

### *Transthoracic Echocardiography*

Transthoracic echocardiography (Vivid 7, GE Medical Systems CH, Glattdbrugg, Switzerland) was performed by an experienced cardiologist (author SB). The electrocardiogram and the airway pressure were continuously displayed and recorded for timing the analyses. Parasternal short-axis and apical views were acquired during every experimental period, including the following recordings: Pulsed wave Doppler analysis was performed at the level of the pulmonary valve, in the left ventricular outflow tract and at the tips of the mitral leaflets. Continuous wave Doppler images were recorded from the aortic and tricuspid valve, and tissue Doppler imaging from the septal and

lateral mitral annuli as well as the lateral tricuspid annulus. A parasternal long-axis and an apical 2-chamber view were acquired during PSVal and NAVAal. Images and loops were stored in raw data format for offline analysis using dedicated software (EchoPac, GE Medical Systems CH, Glattbrugg, Switzerland).

Flow across the pulmonary valve was assessed from stored pulsed wave Doppler images on a breath-to-breath basis. In- and expiration were tracked by the airway pressure curve visualized on the Doppler screen. For three end-inspiratory and three end-expiratory cardiac beats (i.e. three single breaths) the velocity time integral, flow period, acceleration time, maximum velocity and the preceding R-R interval in the electrocardiogram were measured and mean end-expiratory and end-inspiratory values were calculated for each patient (11). The same parameters were assessed from stored left ventricular outflow tract Pulsed Wave Doppler images.

Diastolic function was examined and graded according to standard criteria for every respiratory period (12). Right ventricular function was assessed for every respiratory period using tissue velocity of the lateral tricuspid annulus (S') and TAPSE (13, 14). Left ventricular mass was calculated from a loop during PSVal ventilation as recommended by the American Society of Echocardiography (15, 16). Left ventricular ejection fraction was calculated using the biplane Simpson method in PSVal and NAVAal (16). In case the biplane Simpson method was not feasible, a Teichholz measurement combined with a visual estimate was used instead. Valve function and pulmonary artery pressure were assessed according to the American Society of Echocardiography Guidelines (17, 18).

## ***Data analysis***

### ***Breathing pattern***

Breath-by-breath analysis was performed using custom made software (Neurovent Research Inc, Toronto, Canada). Coughing and artefacts were identified visually and excluded from analyses. For each experimental period, beginning and end of inspiratory airflow were marked off-line using trigger-on and cycling-off thresholds as set during the study. In- and expiration were defined by airflow reversal. We have used similar approaches in our previous studies (4, 6, 7). The mean inspiratory esophageal pressure (Pes) deflection was calculated for the duration of inspiratory airflow and referenced to the Pes immediately before start of inspiratory airflow. For each parameter, the mean value of all analysed breaths was calculated. Transmural vascular pressures were obtained by subtraction of the esophageal pressure from the respective vascular pressure. Conversion of cmH<sub>2</sub>O to mmHg was done with a factor of 0.735 mmHg/cmH<sub>2</sub>O.

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