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Observational / Interventions Research Ethics Committee

Prof Joy Lawn LSHTM

11 July 2019

Dear Prof Joy Lawn,

Study Title: The OMWaNA Study: Operationalising kangaroo Mother care before stabilisation amongst low birth Weight Neonates in Africa: a multi-site randomised controlled trial to examine mortality impact in Uganda

LSHTM ethics ref: 16972

Thank you for your application for the above research, which has now been considered by the Interventions Committee.

Confirmation of ethical opinion

On behalf of the Committee, I am pleased to confirm a favourable ethical opinion for the above research on the basis described in the application form, protocol and supporting documentation, subject to the conditions specified below.

Conditions of the favourable opinion

Approval is dependent on local ethical approval having been received, where relevant.

Approved documents

The final list of documents reviewed and approved by the Committee is as follows:

| Document Type | File Name | Date | Version |
|----------------------|---|------------|---------|
| Other | GCP_GCP Certificate (R2)_CT_12Dec17 | 12/11/2017 | n/a |
| Other | RETC_Certificate_2019 | 09/01/2019 | n/a |
| Information Sheet | ICFphotography_OMWaNA_V1.0_8.2.19 | 08/02/2019 | 1.0 |
| Information Sheet | ICFquali_OMWaNA_V1.0_English_8.02.19 | 08/02/2019 | 1.0 |
| Other | Melissa Medvedev_GCP Certificate_5May2019 | 05/05/2019 | n/a |
| Investigator CV | Cally Tann_CV | 30/05/2019 | n/a |
| Investigator CV | Melissa Medvedev_CV | 30/05/2019 | n/a |
| Investigator CV | Peter Waiswa_CV | 30/05/2019 | n/a |
| Investigator CV | Joy Lawn_CV | 30/05/2019 | n/a |
| Investigator CV | Liz Allen_CV | 30/05/2019 | n/a |
| Sponsor Letter | 2019-MUU-234_Sponsor Confirmation | 31/05/2019 | n/a |
| Protocol / Proposal | OMWaNA protocol_V1.2_31.05.19_clean | 31/05/2019 | 1.2 |
| Information Sheet | ICF_OMWaNA_V1.1_English_31.05.19_clean | 31/05/2019 | 1.1 |

After ethical review

The Chief Investigator (CI) or delegate is responsible for informing the ethics committee of any subsequent changes to the application. These must be submitted to the Committee for review using an Amendment form. Amendments must not be initiated before receipt of written favourable opinion from the committee.

The CI or delegate is also required to notify the ethics committee of any protocol violations and/or Suspected Unexpected Serious Adverse Reactions (SUSARs) which occur during the project by submitting a Serious Adverse Event form.

An annual report should be submitted to the committee using an Annual Report form on the anniversary of the approval of the study during the lifetime of the study.

At the end of the study, the CI or delegate must notify the committee using an End of Study form.

 $All \ a forementioned \ forms \ are \ available \ on \ the \ ethics \ online \ applications \ website \ and \ can \ only \ be \ submitted \ to \ the \ committee \ via \ the \ website \ at: \ http://leo.lshtm.ac.uk$

Additional information is available at: www.lshtm.ac.uk/ethics

Yours sincerely,

Professor Jimmy Whitworth Chair

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