Table S1: Examples of how measuring goal concordance could be used in research

1. Calculating cumulative incidence

• No. of preference sensitive treatments this month = 48

No. of treatments rated as goal discordant = 15

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(15/48) × 100 = **32.3** discordant treatments per 100 preference-sensitive interventions **95% CI** = (19.1 - 46.4) **Note:** If patients receive multiple preferencesensitive treatments, observations are not independent and statistics should account for correlation within patients.

2. Calculating intervention-specific rates

Research team identifies 4 preferencesensitive interventions of interest. Based on results at right, they decide to focused their intervention on tracheostomies and endoscopy.

	Long-term dialysis catheter (N = 22)	Tracheostomy (N = 19)	Endoscopy (N = 39)	Suprapubic urinary catheter (N = 6)
Discordant treatments per	9 (2—31)	47 (25—71)	26 (14—42)	33 (6—76)

3. Assessing goal-concordance to tailor interventions

It may be difficult for **ICU A** to increase goal-concordance since some proxies will always set unachievable goals or be unsure of treatment limitations.

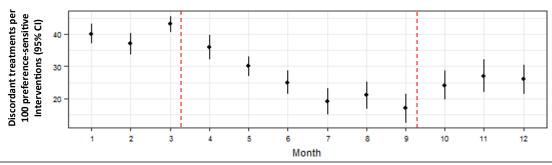
In **ICU B**, most goals are potentially achievable, but there are many uncertain proxies. Consider a facilitated values history^a intervention.

In **ICU C**, an intervention to help physicians communicate prognosis may be the best approach to improving the rate of goal-concordant care given the sizable percentage patients and proxies naming unachievable goals. Investigating the source of treatment limitation violations is also advised.

	ICU A	ICU B	ICU C
Preference-sensitive interventions January—June	N = 212	N = 303	N = 174
Goal—concordant	65%	55%	38%
Goal unachievable at time of intervention	10%	7%	25%
Proxy unsure of goal or treatment limitations	10%	23%	3%
Treatment will not help achieve the patient's goal	2%	2%	5%
Treatment limitation violated	1%	3%	9%
Patient lacks capacity, no proxy identified	12%	10%	20%

4. Longitudinal assessment during an intervention

A research team tracks the incidence of goal-discordant treatment during a year long, before-and-after study. The dashed red lines indicate the beginning and end of the intervention period. The rate of goal-discordant treatment drops during the intervention, and then rebounds slightly after month 9. The number of preference-sensitive interventions performed during the intervention also decreased which resulted in larger confidence intervals in the post-intervention period.



5. Cluster-randomized trial of a complex intervention

ICUs are randomized to control or intervention after a 3 month baseline period. The research team prospectively asks patients and proxies about goals and treatment limitations in both groups to track goal-concordance. Simply asking about goals and treatment limitations appears to have raised the incidence of goal-concordant treatment in the control ICUs (red), but the treatment ICUs (blue) show an even greater increase in the rate of goal-concordant treatment suggesting that the intervention had an independent effect.

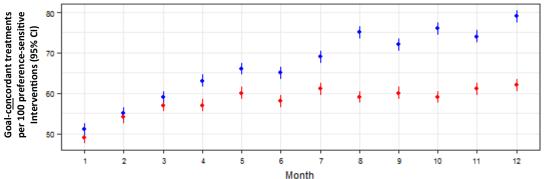


Table S2: Assessing goal-concordance for 5 hypothetical ICU patients

Patient and Treatment	Goal	Treatment Limitations	Goal potentially achievable at the time of the treatment?	Treatment helps achieve the goal and respects the patient's treatment limitations?	Goal- concordant care?
Patient 1: 47 y.o. male with hypertension and diabetes mellitus type II admitted with small bowel obstruction due to adhesions, status post small bowl resection. On post-operative day 2, he has septic shock and is oliguric. Treatment: Continuous Renal Replacement Therapy (CRRT)	"He wants to get back to work and to being a father." - patient's wife	None (Full code)	Yes (physician judgement)	Yes There's a good chance his kidney failure will resolve and CRRT provides time for him to recover.	Yes
Patient 2: 68 y.o. woman with multiple comorbidities who has severe necrotizing pneumonia and ICU-acquired muscle weakness with 14 days of mechanical ventilation. Treatment: Tracheostomy	"I want to be at my daughter's wedding in the spring." - patient	"Try to help me get better, but if my heart stops don't do CPR." (DNR)	Yes (physician judgement)	Yes Tracheostomy will allow continued mechanical ventilation which increases her chances of attending the wedding.	Yes
Patient 3: 37 y.o. male with leukemia status post 2 failed bone marrow transplants admitted with renal failure due to persistent diarrhea secondary to graft-versus-host-disease and severe pneumonia. Treatment: Re-intubation and peripherally inserted central catheter (PICC)	"I want to be comfortable and in my own home." - patient	"Don't intubate me again. If I'm dying let me go." (DNR/DNI)	Yes (physician judgement)	No Re-intubation violates his treatment limitation and a PICC will not help achieve the patient's goal. All appropriate medications can be given via a non-IV route.	No
Patient 4: 71 y.o. male with end-stage interstitial lung disease and progressive hypoxia despite 14 days of ventilator support. He is not a transplant candidate. Treatment: Tracheostomy	"Beat my ILD and hike in the mountains next summer." - patient	None (Full code)	No (physician judgement)	No A tracheostomy will not improve this patient's chances of achieving his stated goal.	No
Patient 5: 87 y.o. female with advanced dementia admitted 1 week ago with ARDS secondary to influenza and MRSA pneumonia (ventilator settings: AC/400/25/30%/5). She has developed renal failure and is receiving intermittent hemodialysis. Treatment: Long term dialysis catheter	"She'd definitely want to be alive. She wouldn't mind living in a nursing facility." - patient's son	None (Full code)	Yes (physician judgement)	Yes A long-term dialysis catheter could allow this patient to be discharged to a long-term care facility.	Yes