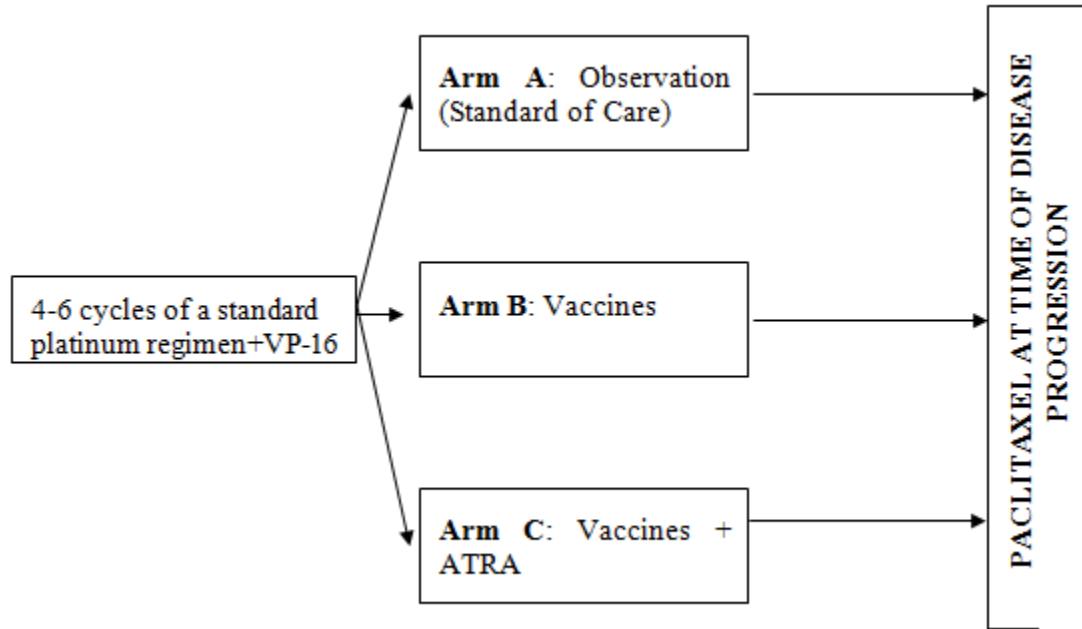
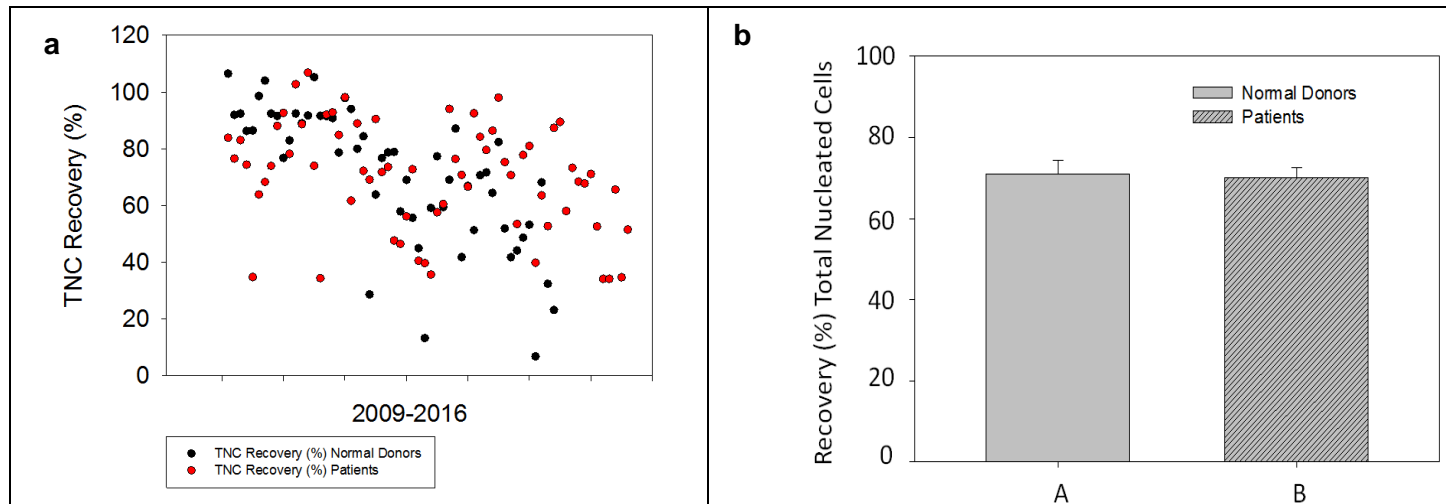


Supplemental Figure 1



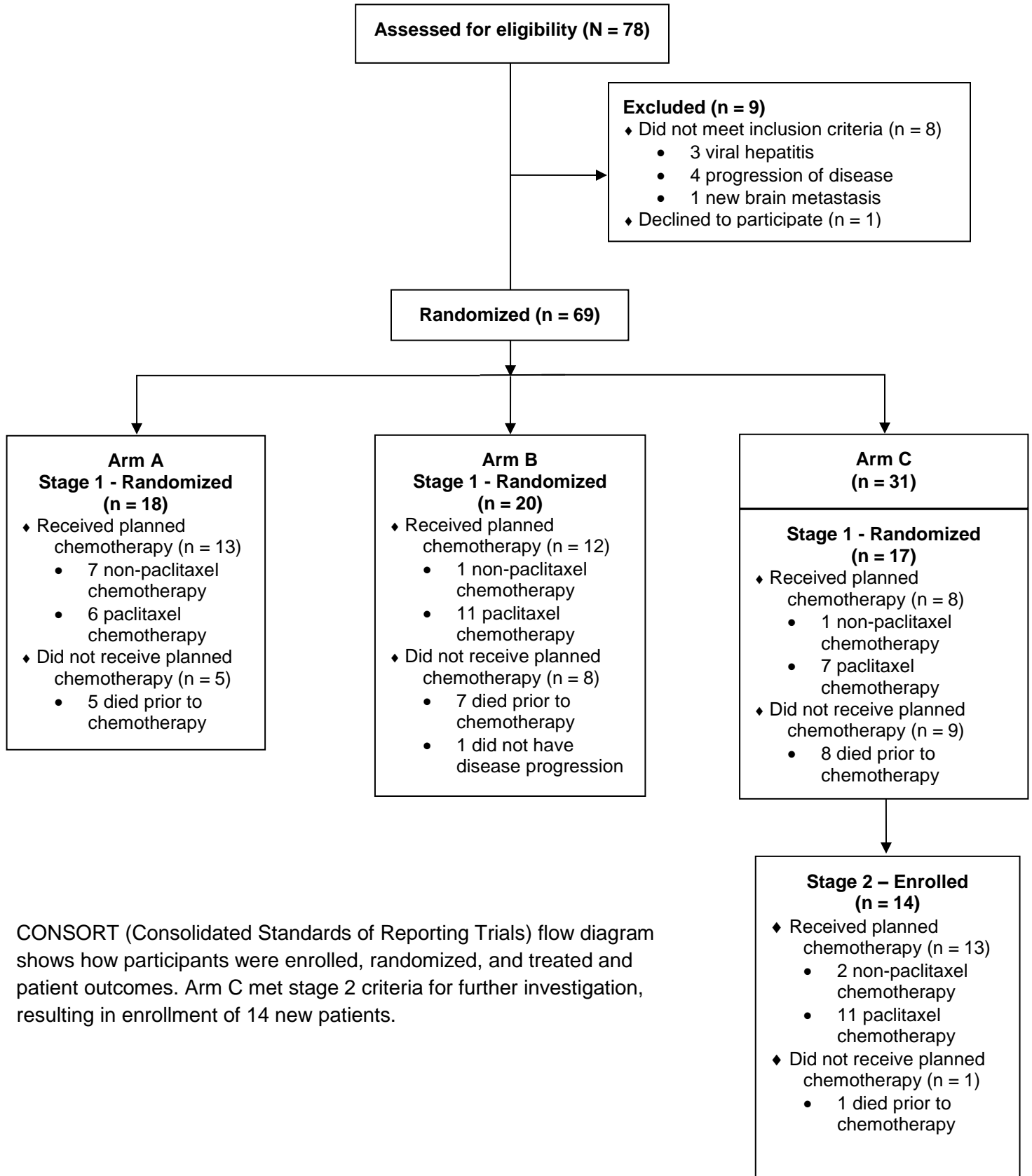
Study design diagram showing treatment received by patients in each study arm. Abbreviations: ATRA, all-trans-retinoic acid; VP-16, etoposide.

Supplemental Figure 2



Total nucleated cell (TNC) recovery percentages. **a** Black dots represent normal donors ($n = 54$) and red dots represent patients ($n = 66$) who underwent a standard 4-blood-volume apheresis procedure. **b** Graph shows percentages of TNCs for normal donors (A) and patients (B). The apheresis products were subjected to ficoll separation using a Haemonetics Cell Saver 5+ automated cell recovery system to prepare an enriched mononuclear cell preparation. Data are expressed as means \pm SEMs percent recovery of total nucleated cells, following ficoll separation of apheresis products from normal donors versus patients ($71 \pm 3\%$ versus $70 \pm 2\%$, $P = .511$, respectively).

CONSORT Flow Diagram



CONSORT (Consolidated Standards of Reporting Trials) flow diagram shows how participants were enrolled, randomized, and treated and patient outcomes. Arm C met stage 2 criteria for further investigation, resulting in enrollment of 14 new patients.

Supplemental Table 1 Adverse events by study arm

Adverse Events	Arm A						Arm B						Arm C						Totals (Arms A, B, and C)					
	N = 18*			n = 16**			N = 20			n = 17			N = 31			n = 31			N = 69			n = 64		
	G1	G2	G3	G4	G3+4 (%)	Total, No. (%)	G1	G2	G3	G4	G3+4 (%)	Total, No. (%)	G1	G2	G3	G4	G3+4 (%)	Total, No. (%)	G1	G2	G3	G4	G3+4 (%)	Total, No. (%)
Laboratory-Metabolic/Chemistries Alkaline phosphatase	1		1		5.6	2 (11.1)					0		3	1			0	4 (12.9)	4	1	1		1.4	6 (8.7)
Laboratory-Hematologic Lymphopenia			1		5.6	1 (5.6)					0		1	1		1	3.2	3 (9.7)	1	1	1	1	2.9	4 (5.8)
Platelets	1				0	1 (5.6)	3				0	3 (15.0)	4		3		9.7	7 (22.6)	8		3		4.3	11 (15.9)
Constitutional Anorexia	4	2	1		5.6	7 (38.9)	5				0	5 (25.0)	10	2			0	12 (38.7)	19	4	1		1.4	24 (34.8)
Fatigue (asthenia, lethargy, malaise)	5	2	2		11.1	9 (50.0)	9	3	1		5.0	13 (65.0)	14	6	2		6.5	22 (71.0)	28	11	5		7.2	44 (63.8)
Fever [†]	1		1		5.6	2 (11.1)	1				0	1 (5.0)	1				0	1 (3.2)	3		1		1.4	4 (5.8)
Respiratory Dyspnea	5	2	2		11.1	9 (50.0)	4	6	1		5.0	11 (55.0)	7	7	1		3.2	15 (48.4)	16	15	4		5.8	35 (50.7)
Gastrointestinal Vomiting			1		5.6	1 (5.6)	1	1			0	2 (10.0)	6	2	1		3.2	9 (29.0)	7	3	2		2.9	12 (17.4)
Neurologic and Psychiatric Pain- Headache	3		1		5.6	4 (22.2)	3		1		5.0	4 (20.0)	19	2	4		12.9	25 (80.6)	25	2	6		8.7	33 (47.8)
Dizziness	3		1		5.6	4 (22.2)	1				0	1 (5.0)	2	1			0	3 (9.7)	6	1	1		1.4	8 (11.6)
Confusion			1		5.6	1 (5.6)		1			0	1 (5.0)					0			1	1		1.4	2 (2.9)
Seizure		1	1		5.6	2 (11.1)					0						0			1	1		1.4	2 (2.9)
Cutaneous Alopecia (scalp or body)		3			0	3 (16.7)	1	1	1		5.0	3 (15.0)	2	6			0	8 (25.8)	3	10	1		1.4	14 (20.3)
Pain Pain- Extremity/limb	1	2			0	3 (16.7)	3				0	3 (15.0)		4	1	1	6.5	6 (19.4)	4	6	1	1	2.9	12 (17.4)
Pain- Bone					0								4	1	2		6.5	7 (22.6)	4	1	2		2.9	7 (10.1)

*N = no. of patients in arm; **n = no. of patients who experienced adverse events; [†]Fever defined in the absence of neutropenia, where neutropenia is defined as absolute neutrophil count < 1.0 x 10e9/L. Abbreviation: G, grade.

Supplemental Table 2 Patient overall response rates to second-line chemotherapy

Arm, Stage	Randomize/ Enrolled, n	Did Not Receive Salvage Chemo Tx, no.			Tx with Salvage Chemo, no.											ORR #1, n/N*, % (range, 95% CI)	ORR #2, n/N**, % (range, 95% CI)	ORR #3, n/N†, % (range, 95% CI)	ORR #4, n/N‡, % (range, 95% CI)
					Paclitaxel					Non-paclitaxel					Total				
		Died	Survived	Total Treated	PR	SD	PD	UnV	Sub- total	PR	SD	PD	UnV	Sub- total					
A, 1	18	5	0	5	2	4	0	0	6	0	2	1	4	7	13	2/18, 11.1 (1.9, 36.1)	2/13, 15.4 (2.7, 46.3)	2/6, 33.3 (6.0, 75.9)	0/7, 0.0 (0.0, 43.9)
B, 1	20	7	1	8	1	3	6	1	11	1	0	0	0	1	12	2/20, 10.0 (1.8, 33.1)	2/12, 16.7 (2.9, 49.1)	2/11, 18.2 (3.2, 52.2)	1/1, 100 (5.5, 100.0)
C, 1 & 2	31	9	1	10	5	5	8	0	18	0	2	0	1	3	21	5/31, 16.1 (6.1, 34.5)	5/21, 23.8 (9.1, 47.5)	5/18, 27.8 (10.7, 53.6)	0/3, 0.0 (0.0, 69.0)
C, 1	17	8	1	9	3	2	2	0	7	0	0	0	1	1	8	3/17, 17.6 (4.7, 44.2)	3/8, 37.5 (10.2, 74.1)	3/7, 42.9 (11.8, 79.8)	0/1, 0.0 (0.0, 94.5)
C, 2	14	1	0	1	2	3	6	0	11	0	2	0	0	2	13	2/14, 14.3 (2.5, 43.8)	2/13, 15.4 (2.7, 46.3)	2/11, 18.2 (3.2, 52.2)	0/2, 0.0 (0.0, 80.2)
All (Totals)	69	21	2	23	8	12	14	1	35	1	4	1	5	11	46	9/69, 13.0 (6.5, 23.8)	9/46, 19.6 (9.9, 34.4)	8/35, 22.9 (11.0, 40.6)	1/11, 9.1 (0.5, 42.9)
†P values for stage 1 responses only , calculated using Fisher exact test																.779	.485	.529	.222

Abbreviations: ORR, overall response rate; PD, progressive disease; PR, partial response; SD, stable disease; Tx, treatment; UnV, unevaluable.

*Response/all randomized; **Response/treated only; †Response/paclitaxel-treated only; ‡Response/non-paclitaxel-treated only.

Overall response rates (ORR) to second-line chemotherapy. Arm C met stage 2 criteria for further investigation, and 14 new patients were enrolled in Arm C, Stage 2. ORR #1 is the response rate for all patients who were randomized to the trial. ORR#2 is the response rate for treated patients only. ORR #3 is the response rate for paclitaxel-treated patients. ORR #4 is the response rate for patients who were treated with a second-line chemotherapy other than paclitaxel.

Supplemental Table 3 Survival estimates

Survival Criteria	Arm/Cohort	Patients, No.	Median Survival, Mo	Range,* Mo	P Value
Survival from date of progression after vaccination	A	18	7.40	3.37-16.70	.065
	B	20	2.73	2.03-7.20	
	C	31	3.23	1.97-7.53	
Survival from date of study enrollment	A	18	12.18	9.27-18.33	.313
	B	20	6.32	5.13-13.93	
	C	31	6.20	4.17-11.57	
Survival based on immune response	Negative	30	9.28	6.20-15.00	.250
	Positive	13	9.23	4.73-NA	

*95% confidence interval